DT03 Rec'd PCT/PTO ATTORNEY'S DOCKET NUMBER U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE FORM PTO-1390 (Modified) (REV. 07-2004) 259211US2X PCT TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 11 April 2002 9 April 2003 PCT/JP03/04530 TITLE OF INVENTION PLASMA CVD FILM FORMING APPARATUS AND METHOD FOR MANUFACTURING CVD FILM COATED PLASTIC CONTAINER APPLICANT(S) FOR DO/EO/US Kenichi HAMA, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. Ø This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5),  $\boxtimes$ 3. (6), (9) and (24) indicated below. The US has been elected (Article 31). 4. Ø A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) 5. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b.  $\boxtimes$ is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🛚 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$ 6.  $\boxtimes$ is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 🗆 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7.  $\boxtimes$ are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. 🖾 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9.  $\boxtimes$ An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. A copy of the International Search Report (PCT/ISA/210).  $\boxtimes$ 12. Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13.  $\boxtimes$ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14.  $\boxtimes$ 15. A FIRST preliminary amendment.

16. A SECOND or SUBSEQUENT preliminary amendment.

17. 

A substitute specification.

18. A power of attorney and/or change of address letter.

19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

20. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

22. 

Express Mail Label No.

23. Other items or information:

Application Data Sheet/Notice of Priority/Drawings (11 Sheets)/PCT/IB/304/PTO-1449/Statement of Relevancy Cited References (8)

DT05 Rec'd PCT/PTO 0 5 0CT 2004'

U.S. APPLICATION NO (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.  PCT/JP03/04530						ATTORNEY'S DOCKET NUMBER								
<u>.                                    </u>	10/510218   PCT/JP03/04530						259211US2X PCT							
24.	The	follo	wing fees	are sub	mitted:.					CA	LCULATIONS	PTO USE ONLY		
			FEE (37											
	internation	mid mid-mid-mid-co-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid-co-pro-mid							\$1080.00					
	USPTO	but Ii	nternationa	1 Searc	h Report p	prepa	CFR 1.482) not paid to ared by the EPO or JPO		\$920.00			•		
	but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00													
	but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00													
	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)							\$100.00						
											\$950.00			
month	s from the	30.00 e earl	iest claime	d prior	ity date (3	decla 37 CF	tration later than 20 FR 1.492(e)).	0	□ 30		\$0.00			
<u> </u>	AIMS	4	NU		FILED		NUMBER EXTRA	_	RATE	<u> </u>	\$36.00			
Total o	·			22	- 20 =		2	X	\$18.00		\$440.00			
	endent clai			8	- 3 =		5	х	\$88.00		\$0.00			
Multip	le Depend	rependent Claims (check if applicable).  TOTAL OF ABOVE CALCULATIONS =						<del>                                     </del>	\$1,426.00	<del></del>				
	Applicant (	clain	s small ent				R 1.27. The fees indicated above				,			
	educed by										\$0.00			
							SUB	-	TAL =		\$1,426.00			
Proces month	ssing fee o	of \$13 e earl	30.00 for fuiest claime	urnishi d prior	ng the Engity date (	glish 37 Cl	translation later than $\Box$ 2 FR 1.492(f)).	0	□ 30 +		\$0.00			
,2							TOTAL NATIONAL	LF	EE =		\$1,426.00			
Fee fo	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).								\$0.00					
	TOTAL FEES ENCLOSED =								\$1,426.00					
									Am	ount to be: refunded	\$			
											charged	\$		
a.											1 6			
b.		Pleas	se charge n	ıy Dep	osit Accou	unt N	lo in the arr	nount	of		to cover the	ne above fees.		
c.			Director is				charge any additional fees which	h ma	y be required	, or c	redit any overpay	yment		
d.	The state of the s													
NOT	E: Where	e an	appropria	te time	e limit und	der 3	7 CFR 1.494 or 1.495 has not breather the International Application	been	met, a petiti	on to	revive (37 CFR	t.		
						, colu	ie the international Application	•0	/	/	1 / 1			
SENI	SEND ALL CORRESPONDENCE TO:							urd Jachas						
						Re	Surinder Sachar egistration No. 34,423		IGNATURE	RE				
Marvin J.							Marvin J. S	Spivak						
CIT	NAME CUSTOMEDE NUMBER													
						24,913 REGISTRATION NUMBER								
REGISTR									IOMIDER					
-						0d. 5 7004								
	<u> </u>					Ľ	DATE							

DT05 Rec'd PCT/PT0 0 5 OCT 2004

Docket No.

259211US2X PCT

IN RE APPLICATION OF: Kenichi HAMA, et al.

SERIAL NO: New U.S. PCT Application based on PCT/JP03/04530

FILED:

Herewith

FOR:

PLASMA CVD FILM FORMING APPARATUS AND METHOD FOR MANUFACTURING CVD FILM

COATED PLASTIC CONTAINER

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith:

Credit Card Payment Form for \$1426.00/PCT Transmittal Letter Application Data Sheet/Preliminary Amendment (with cover sheet)

Notice of Priority/English Translation of Specification/Drawings (11 Sheets)
Declaration/PCT/IB/304/Information Disclosure Statement/PTO-1449
Statement of Relevancy/Cited References (8)/International Search Report

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE			CALCULATIONS
TOTAL	22	MINUS	22	0	х	\$18	=	\$0.00
INDEPENDENT	8	MINUS	8	0	х	\$88	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$300 =				\$0.00		
			TOTAL OF ABOVE CALCULATIONS					\$0.00
	☐ Reduction by 50% for filing by Small Entity					\$0.00		
	☐ Recordation of Assignment + \$40 =				=	\$0.00		
						TO	ſAL	\$0.00

<u> ال</u>	chec	k in the	e amount	t of <u>\$0.0</u>	<u>U</u> 1S	attached.
------------	------	----------	----------	-------------------	-------------	-----------

- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Surinder Sachar

Registration No. 34,423